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#### Pharmacodynamic evaluation of plasma and epithelial lining fluid exposures of 1

- 2 amikacin against Pseudomonas aeruginosa in a dynamic in vitro hollow-fibre infection
- model. 3
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# 52 Abstract

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53	Given that aminoglycosides, such as amikacin, may be used for multi-drug resistant
54	Pseudomonas aeruginosa infections, optimization of therapy is paramount for improved
55	treatment outcomes. This study aims to investigate the pharmacodynamics of different
56	simulated intravenous amikacin doses on susceptible P. aeruginosa to inform ventilator-
57	associated pneumonia and sepsis treatment choices.
58	A hollow-fibre infection model with two <i>P. aeruginosa</i> isolates (MIC 2 and 8 mg/L) with an
59	initial inoculum $\sim 10^8$ colony-forming unit/mL was used to test different amikacin dosing
60	regimens. Three regimens (15, 25 and 50 mg/kg) simulating a blood exposure and a 30 mg/kg
61	regimen simulating the epithelial lining fluid (ELF) for potential respiratory tract infection
62	were tested. Data were described using a semi-mechanistic
63	pharmacokinetic/pharmacodynamic (PK/PD) model. Whole genome sequencing was used to
64	identify mutations associated with resistance emergence.
65	While bacterial density was reduced by >6-logs within the first 12 h in simulated blood
66	exposures, following this initial bacterial kill, there was amplification of a resistant sub-
67	population with ribosomal mutations that were likely mediating amikacin resistance. No
68	appreciable bacterial killing occurred with subsequent doses. There was less (<5-log)
69	bacterial killing in the simulated ELF exposure for either isolate tested. Simulation studies
70	suggest that a dose of 30 and 50 mg/kg may provide maximal bacterial killing for
71	bloodstream and VAP infections respectively.
72	Our results suggest that amikacin efficacy may be improved with the use of high dose therapy
73	to rapidly eliminate susceptible bacteria. Subsequent doses may have reduced efficacy given
74	the rapid amplification of less-susceptible bacterial subpopulations with amikacin

75 monotherapy.

# 76 Introduction

77	Sepsis or ventilator-associated pneumonia (VAP) caused by <i>Pseudomonas aeruginosa</i> is
78	associated with a mortality of between 25 and 50% (1, 2). Furthermore, patients with
79	carbapenem-resistant P. aeruginosa infections have an increased risk of death that may be
80	attributed to increasing illness severity, and delayed administration of appropriate antibiotic
81	therapy (3-6). Despite a potential increased mortality with aminoglycoside monotherapy, at
82	least 80% of <i>P. aeruginosa</i> isolates remain susceptible to aminoglycosides such as amikacin,
83	therefore they may be prescribed for empiric treatment as part of combination therapy to
84	appropriately extend the spectrum of antibiotic activity in settings with increased resistance
85	rates (3-6).
86	One potential contributing factor to the apparent reduced efficacy of aminoglycosides is
87	suboptimal dosing. Achieving an aminoglycoside maximum concentration $(C_{max})$ to
88	minimum inhibitory concentration (MIC) ratio ≥10 or an area under the-concentration time-
89	curve (AUC) to MIC ratio $\geq$ 150 reduces mortality and hastens symptom resolution (7, 8)
90	Importantly, the risk of resistance emergence and potential treatment failure may be increased
91	when bacteria are exposed to a $C_{max}/MIC < 6$ (9). Moreover, in patients infected with
92	carbapenem-resistant, aminoglycoside-susceptible Klebsiella pneumoniae, aminoglycosides
93	have been associated with favourable outcomes, particularly when a therapeutic
94	aminoglycoside exposure may be possible at the site of infection (bloodstream, vascular
95	catheters, soft tissues, and urinary tract) (10).
96	Aminoglycoside dose optimization must also consider the potential effect of the bacterial
97	inoculum, the immune response, and the potential toxicity of the dosing regimen.
98	Approximately one-third of patients with VAP have a bacterial burden exceeding 10 <sup>8</sup> colony
99	forming units (CFU)/mL (11, 12). Reducing this bacterial burden to $<1x10^6$ CFU/mL may

100 enable rapid granulocyte mediated bacterial clearance and enhance symptom resolution (11-101 13). These factors may be particularly important in patients with Gram-negative bacillary 102 pneumonia for two reasons. First, amikacin penetration into the epithelial lining fluid (ELF), the site of infection, is only approximately 10% of the plasma  $C_{max}$  (14). Second, there may 103 104 be limited treatment options available for multi-drug resistant bacteria should aminoglycoside 105 therapy fail.

106 The aims of this study were twofold. First, to describe and quantify the time course of 107 bacterial killing and emergence of resistance of two P. aeruginosa clinical isolates using the dynamic in vitro hollow-fibre infection model (HFIM) and semi-mechanistic mathematical 108 109 modelling. Second, to determine amikacin dosing regimens that may enhance bacterial killing in both the bloodstream and ELF. 110

111

#### 112 Materials and Methods

#### 113 Antimicrobial agents

114 Amikacin analytical reference standards (Sigma-Aldrich, batch number LRAA5755) were

used for in vitro MIC susceptibility testing and preparing amikacin-containing cation adjusted 115

Mueller-Hinton (Ca-MH) agar plates. Commercially available amikacin vials (DBL 116

Amikacin sulphate 500mg/2mL, batch number CO61221AA) stored at 4°C were used for 117

118 HFIM dosing. Amikacin stock solutions were aseptically prepared in a Class II biosafety

cabinet by diluting amikacin with sterile distilled water and storing at -80°C. 119

120

121

## 123 Bacterial isolates

124	Two clinical <i>P. aeruginosa</i> isolates (#CTAP40 and #CTAP23) were sourced from critically
125	ill patients. Isolates were stored in Ca-MH broth with 20% glycerol v/v at -80 $^{\circ}\mathrm{C}$ and were
126	grown on Ca-MH agar and incubated at 37 °C for 24 h prior to in vitro susceptibility testing
127	and HFIM studies. A 0.5 McFarland bacterial suspension was prepared in sterile water using
128	morphologically similar colonies and diluted in Ca-MH broth to the desired inoculum. For
129	HFIM studies, bacteria were suspended in 40 mL of Ca-MH broth and incubated at 37 $^{\circ}$ C
130	with constant agitation for a duration of time based on previous growth curves to achieve a
131	final inoculum of approximately $10^8$ CFU/mL.

132

### 133 In vitro susceptibility testing

Broth microdilution was performed in accordance with Clinical & Laboratory Standards 134 Institute (CLSI) and the European Committee on Antimicrobial Susceptibility Testing 135 (EUCAST) guidelines (15, 16). Briefly, a volume of bacteria suspended in Ca-MH broth 136 (final inoculum 5.5x10<sup>5</sup> CFU/mL) was added to a 96-well flat or round bottom plate 137 containing serial twofold dilutions of amikacin in Ca-MH broth. Inoculated 96-well plates 138 were incubated for 16-24 h at 37 °C. Round bottom plates were visually inspected for growth; 139 the lowest amikacin concentration with no apparent growth was defined as the MIC. The 140 MIC for the flat bottom plates was determined using a Multiskan FC Microplate Photometer 141 142 (Thermo Fisher Scientific, Finland), and defined as the concentration with an optical density <0.1 of the growth control. The modal MIC of four replicates within an individual 143 experiment for each method (CLSI and EUCAST) was selected as the isolate MIC. 144

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## 147 Mutation frequency

A 10 mL culture of a 10<sup>2</sup> CFU/mL inoculum was incubated in Ca-MH broth for 24 h at 37°C.
Quantitative culturing methods with diluted and undiluted samples were performed on the
resultant bacterial growth using both standard Ca-MH agar and amikacin-containing Ca-MH
agar (fourfold baseline MIC). The mutation frequency was taken as the ratio of the bacterial
concentration growing on amikacin-containing plates to the initial inoculum after incubating
for 48 h at 37°C.

154

## 155 Hollow-Fibre Infection Model

The HFIM was assembled as described previously using FiberCell Systems polysulfone cartridges (C2011) in all experiments and conducted over 7 days (17, 18). One HFIM experiment was conducted for each dosing regimen and isolate combination with an initial bacterial concentration of  $1 \times 10^8$  CFU/mL.

160

161	Unbound amikacin blood exposures were simulated using the pharmacokinetic model derived
162	by Romano et al., assuming an 80 kg patient with sepsis, a creatinine clearance of 100
163	mL/min, and 17% protein binding (19, 20). Amikacin dosing regimens of 15, 25, and 50
164	mg/kg once-daily infused over 30-minutes were tested. High 50 mg/kg doses were also tested
165	given that these doses have been previously used clinically (21). The ELF amikacin
166	concentrations and resultant half-life in the HFIM apparatus were approximated using
167	previous aminoglycoside ELF:serum ratios in conjunction with the established concentration-
168	time curves for the blood amikacin exposure (14, 22, 23). In brief, the estimated unbound
169	plasma concentration of amikacin was multiplied by the average ELF:serum penetration ratio
170	(0.12, 0.3, 0.85, 1.14) identified for other aminoglycosides (gentamicin and tobramycin) at

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171	the corresponding time points (0.5, 1, 2, 4 h) (14, 22, 23). The ELF half-life (1.92 h) was
172	derived from a non-compartmental analysis of the resultant concentration-time curve over the
173	course of 24 h, which approximates that identified previously (24, 25). A mucin bound
174	fraction of 50% was assumed, representing a likely worst-case scenario (26). An ELF
175	amikacin exposure following an intravenous dose of 30 mg/kg once-daily administered over
176	30-minutes was simulated.
177	
178	Samples were periodically removed from the central compartment outlet at 0.25, 0.5, 0.45, 1,
179	2, 3, 4, 6, 8, 10, 12, 24, 25, 30, 36, 48, 49, 54, 60, 72, 73, 78, 84, 96, 120, 144, 145, and 156 h
180	to determine the amikacin concentration for pharmacokinetic analysis. As the central
181	compartment contents rapidly equilibrate with the hollow-fibre cartridge, the concentrations
182	obtained in the central compartment reflect that in the hollow-fibre cartridge. Bacterial
183	quantification was performed with periodic sampling at 0, 2, 4, 6, 8, 11, 24, 35, 48, 59, 72,
184	96, 120, 144 and up to 168 h from the cartridge extra-capillary space. Samples were washed
185	twice in phosphate-buffered saline to minimise antibiotic carry-over. A 100 $\mu$ L aliquot of an
186	appropriately diluted bacterial suspension was manually plated onto Ca-MH agar and
187	amikacin-containing Ca-MH agar (fourfold baseline isolate MIC). The limit of quantification
188	was 2-log <sub>10</sub> CFU/mL.

189

190 Drug assay

191 Amikacin was measured in Ca-MH broth by a validated Liquid Chromatography Mass

192 Spectrometry method. Briefly, 50  $\mu$ L of Ca-MH broth sample (neat or diluted) was combined

- 193 with 50  $\mu$ L of water and 20  $\mu$ L of vancomycin (50 mg/L) added as the internal standard.
- 194 Amikacin was extracted using protein precipitation with 50  $\mu$ L of trichloroacetic acid (15%,

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195	v/v). Samples were centrifuged at 12,000 g for 5-minutes and an aliquot of the supernatant
196	(0.5 $\mu$ L) was injected onto a Nexera2 UHPLC system coupled to an 8030+ triple quadrupole
197	MS detector (Shimadzu, Kyoto, Japan). Chromatographic separation was achieved using a
198	Poroshell 120 HILIC column (Agilent, Santa Clara, USA) and a gradient of formic acid 0.2%
199	v/v and acetonitrile with 0.2% formic acid $v/v$ . Detection of amikacin and the internal
200	standard was performed using an electrospray source in positive mode with optimised
201	multiple reaction monitoring conditions for each analyte. Amikacin was monitored at three
202	fragmentation ions (586.25 $\rightarrow$ 163.10, 586.25 $\rightarrow$ 264.15 and 586.25 $\rightarrow$ 425.15) and
203	vancomycin was monitored at two fragmentation ions (725.60 $\rightarrow$ 144.10 and 746.10 $\rightarrow$
204	144.20).

Calibration lines of amikacin were quadratic with 1/concentration<sup>2</sup> weighting from 0.2 to 10 205 206 mg/L with a maximum deviation from the nominal concentration of 2.1%. Mean intra-batch accuracy and precision values were -6.2% and 8.3% at 0.8 and 8 mg/L respectively. 207

208

#### 209 Whole Genome Sequencing

210 Bacterial isolates for whole genome sequencing were subcultured onto amikacin-containing (4x baseline MIC) CaMH agar as the resistant bacterial population profile may be transient 211 212 without the presence of amikacin. Bacterial DNA was extracted without single colony purification to capture population diversity using the DNeasy UltraClean DNA Extraction in 213 accordance with the manufacturer's directions and quantified using spectrophotometry 214 215 (NanoDrop; ThermoFisher) and fluorometry (Qubit; ThermoFisher). Paired-end DNA 216 libraries were prepared using the Nextera kit (Illumina; Australia) in accordance with the manufacturer's directions. Sequencing was performed using the Illumina Mini-Seq (150 bp 217 218 paired ends). Improved draft genome assemblies were constructed for the two progenitor

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#### 226 Mathematical Pharmacokinetic/Pharmacodynamic Modelling

All HFIM data from simulated bloodstream exposures were co-modelled using Pmetrics for 227 R version 1.5.2 considering the results of the whole genome sequencing study (32, 33). The 228 229 final structural model is described by equations 1 to 5 that describe amikacin pharmacokinetics and bacterial growth of three subpopulations. Model diagnostics including 230 the Akaike-information-criteria, log-likelihood, coefficient of determination ( $\mathbb{R}^2$ ) from the 231 232 observed vs. expected plots, and visual-predictive-checks were used to evaluate and compare 233 models.

strains, #CTAP23 and #CTAP40, using the Microbial Genome Assembler Pipeline (MGAP

SPANDx29 v3.2.1, was used to determine genomic variation using the either the #CTAP23

or #CTAP40 as the reference genome depending on the lineage analysed. Within species

mixtures were analysed using the GATK v4.1.0.030 to identify mutations with less than

100% allele frequency using the method outlined in Aziz et al. (31).

v1.1)27, and annotated using Prokka v1.12.28 The comparative genomics pipeline,

Equation 1: 234

$$\frac{dAmk}{dt} = R(1) - \left(\frac{CL}{Vc} \times Amk\right)$$

Equation 2: 235

$$\frac{dCFUs}{dt} = K_{gmax,s} \times CFU_s \times \left(\frac{Qmax \times Sub}{Qs + Sub}\right) - CFU_s \times K_{killmax,s} \times \left(\frac{\frac{Amk^{Hs}}{Vc}}{EC50_s^{Hs} + \frac{Amk^{Hs}}{Vc}}\right)$$

 $-Kds \times CFU_s$ 

$$\frac{dCFUi}{dt} = K_{gmax,i} \times CFU_i \times \left(\frac{Qmax \times Sub}{Qs + Sub}\right) - CFU_i \times K_{killmax,i} \times \left(\frac{\frac{Amk^{Hi}}{Vc}}{EC50_i^{Hi} + \frac{Amk^{Hi}}{Vc}}\right) - Kdi \times CFU_i$$

238 Equation 4:

$$\frac{dCFUr}{dt} = K_{gmax,r} \times CFU_r \times \left(\frac{Qmax \times Sub}{Qs + Sub}\right) - Kdr \times CFU_r$$

Equation 5:

$$\frac{dSub}{dt} = -\left(\frac{Qmax \times Sub}{Qs + Sub}\right) \times (CFU_s + CFU_i + CFU_r)$$

Equation 1 describes amikacin elimination. Equations 2, 3, and 4 describe the bacterial
growth, including the theoretical maximal bacterial density and amikacin-mediated killing of
the susceptible, intermediate and resistant bacterial populations respectively. Equation 5
describes the consumption of an artificial substrate (Sub) required for sustained bacterial
growth.

Amk amount of amikacin (mg); R(1) amikacin infusion rate (mg/h); CL amikacin clearance;
Vc HFIM circuit volume; CFUs, CFUi, and CFUr representing the bacterial burden for the
susceptible, intermediate, and resistant *P. aeruginosa* subpopulations respectively (CFU/mL);
Kgmax,s, Kgmax,I, Kgmax,r maximal growth rate constants for the susceptible, intermediate,
and resistant *P. aeruginosa* subpopulations respectively (log<sub>10</sub> CFU/mL/h); Kkillmax,s,
Kkillmax,i are the maximum rate of amikacin-mediated bacterial killing (log<sub>10</sub> CFU/mL/h);

Antimicrobial Agents and Chemotherapy Kds, Kdi and Kdr intrinsic bacterial death rate constants for the susceptible, intermediate and
resistant subpopulations (log<sub>10</sub> CFU/mL/h); EC50s and EC50i amikacin concentration
producing half-maximal bacterial killing for the susceptible and intermediate subpopulations
respectively; Sub amount of a fictitious substance required for bacterial growth; Qmax
maximum rate of substance use; Qs 50% of maximal substance use; Hs and Hi slope
functions for the susceptible and intermediate subpopulations respectively.

257

258	Monte Carlo dosing simulation studies (n=1000) were performed using Pmetrics. Mean
259	pharmacokinetic parameter estimates, as well as standard deviations of the clearance and
260	volume of distribution, were obtained from the study conducted by Romano et al. (19) and
261	applied to the simulations for the pharmacodynamic model. Mean value pharmacodynamic
262	model parameters were estimated for specific isolates and were used for simulations.
263	Moreover, different creatinine clearance values were used to describe patients with lower,
264	normal and high renal amikacin clearance. The fAUC within the first 24 h was calculated
265	employing Pmetrics, which included both the period of infusion and the monoexponential
266	decay. Classification and regression tree analyses (CART) were used to determine the
267	amikacin fAUC (mg.h/L) achieving stasis, 1-log, and 2-log reduction in the bacterial
268	concentration within the first 24 h.

269

270 **Results** 

271 In vitro susceptibility and mutational frequency studies

The modal amikacin MIC for #CTAP23 and #CTAP40 was 2 and 8 mg/L respectively. The
mutation frequency for #CTAP23 and #CTAP40 in the presence of 8 and 32 mg/L of

amikacin was  $6.77 \times 10^{-7}$  and  $1.05 \times 10^{-7}$  respectively.

275

# 276 Hollow-Fibre Infection Model

277 All intravenous amikacin dosing regimens against a simulated bloodstream P. aeruginosa infection resulted in a  $\geq$ 4-log reduction from the starting inoculum (10<sup>8</sup> CFU/mL) during the 278 279 first 8 h following the first dose of amikacin (Figure 1). There was no appreciable difference 280 in the rate or extent of bacterial killing between the 15, 25 and 50 mg/kg dosing regimens for 281 #CTAP23 (MIC 2 mg/L) (Figure 1, A). However, there was an approximate 1.5-log difference in the bacterial nadir between the 25 mg/kg and 50 mg/kg dosing regimens against 282 #CTAP 40 (MIC 8 mg/L) (Figure 1, B). The total bacterial burden surpassed the baseline 283 284 inoculum by 24 h for both isolates following administration of the 15 and 25 mg/kg dosing 285 regimens. Only the 50 mg/kg dosing regimen for both isolates delayed the rate of bacterial regrowth, exceeding the baseline inoculum by 48 h (Figure 1). Bacterial regrowth in the total 286 population was mirrored by bacterial growth on amikacin-containing CaMH agar (Figure 2). 287 288 The MIC of the bacteria growing on amikacin-containing CaMH agar increased by a 289 minimum of eightfold after seven days of amikacin administration for both isolates tested 290 (Table 1).

291

A similar pattern was observed against the simulated ELF exposure. The total bacterial
population was reduced by approximately 5-logs, 8 h after the initiation of the amikacin
against #CTAP23 (MIC 2 mg/L), which was followed by rapid bacterial regrowth exceeding
the baseline inoculum by 24 h, mirrored by growth on amikacin-containing CAMH agar

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296	(Figure 1, A; Figure 2). Conversely, there was little appreciable bacterial killing against
297	#CTAP40 (MIC 8 mg/L), yet there was an increase in the growth on amikacin-containing
298	CaMH agar (Figure 2). There was no appreciable bacterial killing following subsequent
299	dosing events after day 1 of amikacin in either the blood or ELF exposures in the HFIM. The
300	observed amikacin concentrations for the simulated unbound plasma and ELF approximated
301	the expected concentrations (Graph A in Figures 3 and 4).
302	
303	Comparative genomic analysis
304	There were no resistance genes or single nucleotide polymorphisms (SNPs) associated with
305	amikacin resistance identified in the progenitor strains, #CTAP23 or #CTAP40, prior to
306	amikacin commencement. De novo SNPs within the fusA (FusA <sub>Leu464Val</sub> ) and $rplB$
307	$(RplB_{Gly138Leu})$ genes were identified in isolates that were exposed to the 25 and 50 mg/kg
308	daily dosing regimens respectively for #CTAP23 (Table 2). No SNPs were identified
309	following exposure to amikacin 15 mg/kg. SNPs were identified in the <i>algA</i> and <i>tuf1</i>
310	(Tuf1 <sub>Val21Leu</sub> ) genes for #CTAP40 following exposure to amikacin; with a small baseline
311	bacterial subpopulation containing an <i>algA</i> (AlgA <sub>Ala279Asp</sub> ) SNP.
312	
313	Pharmacokinetic/Pharmacodynamic Modelling
314	Pharmacodynamic parameter estimates are detailed in Table 3. The average total bacterial
315	population Bayesian posterior (model fitted estimate for each individual experimental arm)
316	correlation coefficient (R <sup>2</sup> ) was 0.97 and 0.78 for #CTAP23 (Figure 3) and #CTAP40 (Figure

- 4) simulated blood exposures respectively. Similar results were found for the resistant 317
- bacterial population (average Bayesian posterior R<sup>2</sup> 0.97 and 0.95 for #CTAP23 and 318
- #CTAP40 respectively). 319

320 CART analysis identified similar fAUC and  $fC_{max}$  thresholds for bacterial stasis for both isolates over 24 h; correlating with a difference in the fAUC/MIC and the fC<sub>max</sub>/MIC ratio 321 322 relative to the isolate MIC (Table 4). However, no threshold was associated with a bacterial kill in the bloodstream of 1- or 2-logs over 24 h for #CTAP23. Amikacin simulated fAUC 323 and fCmax ELF exposures were increased relative to plasma for the same bacterial kill over 24 324 325 h and was increased for #CTAP23 (MIC 2 mg/L) compared with #CTAP40 (MIC 8 mg/L). The probability of achieving bacterial stasis, 1- and 2-log kill after 24 h is generally high in 326 327 the ELF and the bloodstream when doses  $\geq$  30 mg/kg are used (Table 5).

328

#### 329 Discussion

330 This study investigated the bacterial killing and emergence of resistance of two susceptible P. aeruginosa isolates exposed to the expected pharmacokinetics of amikacin in blood and 331 332 ELF. Following an initial bacterial kill of  $\geq$ 4-logs within the first 8 h, there was extensive 333 bacterial regrowth for both isolates, with negligible bacterial killing following the first dose. 334 Our results support the current EUCAST recommendation that aminoglycosides may be 335 considered for short-term use in combination with another agent until the antibiotic 336 susceptibilities are confirmed and that aminoglycoside dose optimization may enhance 337 bacterial killing and enhance clinical outcomes (34).

339	In the current study, achieving a blood and ELF amikacin fAUC exposure of approximately
340	>175 (fAUC/MIC >21.87) and >366 mg.h/L (fAUC/MIC >45.8) respectively, may be
341	sufficient to reduce the bacterial burden of some <i>P. aeruginosa</i> isolates to $<10^{6}$ CFU/mL over
342	24 h. Such an exposure correlates to an amikacin dose of $\geq$ 30 mg/kg or $\geq$ 50 mg/kg daily for
343	bloodstream or VAP infections with susceptible P. aeruginosa pathogens in patients with

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ot Po	344	normal creatinine clearance (~100 mL/min). However, this threshold may also vary between
crip	345	bacterial isolates as the total bacterial burden within the first 12 h appears to be, in part,
nus	346	mediated by reducing the burden of the susceptible and intermediate-susceptibility bacterial
Ma	347	population by achieving the appropriate $fAUC/MIC$ and/or $fC_{max}/MIC$ . Thereafter, a resistant
ted	348	bacterial population for which amikacin has no effect against may emerge. The emergence of
cep	349	resistance is likely dependent on the relative density of the intermediate/resistant
Ac	350	subpopulation(s) in the initial total bacterial inoculum (the mutation frequency) and the
	351	propensity for mutations to occur that mediate resistance emergence (35). At the high
	352	inoculum used in our study, it was expected based on the mutation frequency that a resistant
	353	subpopulation existed, which was subsequently amplified following amikacin administration
	354	This may explain the differences in the identified thresholds for a 1- or 2-log reduction
and	355	between the susceptible isolates used in this study given that the relative
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345	bacterial isolates as the total bacterial burden within the first 12 h appears to be, in part,
346	mediated by reducing the burden of the susceptible and intermediate-susceptibility bacterial
347	population by achieving the appropriate $fAUC/MIC$ and/or $fC_{max}/MIC$ . Thereafter, a resistant
348	bacterial population for which amikacin has no effect against may emerge. The emergence of
349	resistance is likely dependent on the relative density of the intermediate/resistant
350	subpopulation(s) in the initial total bacterial inoculum (the mutation frequency) and the
351	propensity for mutations to occur that mediate resistance emergence (35). At the high
352	inoculum used in our study, it was expected based on the mutation frequency that a resistant
353	subpopulation existed, which was subsequently amplified following amikacin administration.
354	This may explain the differences in the identified thresholds for a 1- or 2-log reduction
355	between the susceptible isolates used in this study given that the relative
356	susceptible/intermediate/resistant bacterial populations may differ between isolates. Our
357	results are similar to that previously described against P. aeruginosa where a simulated
358	gentamicin plasma $C_{max}/MIC \ge 36$ was unable to suppress bacterial regrowth <i>in vitro</i> (9).
359	However, against Acinetobacter baumannii, an amikacin $C_{max}$ /MIC of 20 suppressed
360	bacterial regrowth, highlighting the variability in response to aminoglycoside exposure that
361	may be, in part, determined by the inoculum size and pre-existing intermediate/resistant
362	subpopulations.
363	

Higher ELF amikacin fAUC and fCmax exposures were required to achieve stasis, 1- and 2-364 logs of bacterial killing over 24 h, which may be related to the delayed and lower fCmax 365 366 achieved in the ELF relative to the plasma amikacin concentrations following intravenous administration given the expected pharmacokinetic hysteresis between the bloodstream and 367 ELF. Moreover, there was little bacterial killing against #CTAP40 (MIC 8 mg/L) following a 368

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369 simulated intravenous 30 mg/kg dose (Figure 2), suggesting that amikacin monotherapy will have little efficacy against higher MIC isolates. 370

371

372	The identified PK/PD targets identified in our study differ to those observed in clinical
373	studies. A previous clinical study in critically ill patients receiving intravenous amikacin
374	demonstrated an increased chance of microbial eradication and clinical cure in patients who
375	achieved a $C_{max}/MIC > 10$ (9). A separate study identified a fAUC/MIC $\geq 150$ mg.h/L
376	correlated with faster symptom resolution in patients with nosocomial pneumonia (7, 8). The
377	identified PK/PD ratios from our simulations in this study and clinical studies may be
378	challenging to achieve with doses <30 mg/kg (36, 37). As such, high dose amikacin therapy
379	(>30 mg/kg) may be considered. Limited clinical data exists for such dosing regimens, but
380	doses ≥60 mg/kg have been used as part of salvage therapy in conjunction with renal
381	replacement therapy to minimise the probability of toxicity in a small case series (38).
382	Furthermore, the use of a single dose of amikacin in patients with severe sepsis or septic
383	shock may mitigate the risk of nephrotoxicity, which is unlikely to occur for an
384	aminoglycoside duration <3 days (39). Nevertheless, the use of such high doses would place
385	the patient within an amikacin $f$ AUC exposure that has previously been associated with a
386	significant probability of developing nephrotoxicity; however, this is confounded by the
387	different aminoglycosides used and a prolonged treatment duration (40). This approach
388	should be evaluated in a clinical trial to ensure that both the target PK/PD exposures are met
389	and to assess the potential clinical utility of high dose, short duration therapy in terms of
390	patient morbidity and mortality.

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392	Despite the achievement of these targets, resistance may still emerge with amikacin
393	monotherapy. Amikacin resistance was identified for both isolates receiving doses up to 50
394	mg/kg within 48 h of amikacin initiation. Mutations affecting the ribosomal binding unit
395	$(RplB_{Gly138Leu})$ , elongation factors (FusA <sub>Leu464Val</sub> , Tuf1 <sub>Val21Leu</sub> ) and mucoidal phenotype
396	(AlgA <sub>Ala279Asp</sub> ) appear to mediate this resistance, which is consistent with a previous study
397	with tobramycin with similar SNPs within the <i>rplB</i> and <i>fusA</i> genes that likely inhibit
398	aminoglycoside binding to the 30S ribosomal subunit (41). The relevance of the AlgA mutant
399	is not currently known; however, alteration of alginate production may modify biofilm
400	formation, a known potentiator of antibiotic resistance emergence (42). These mutations were
401	associated with an increased MIC; however, the relative MIC increase was similar following
402	each dosing regimen. Furthermore, a specific mutation was not often consistently identified
403	for all resistant bacterial populations following a specific amikacin dosing regimen. This
404	would suggest that there are either multiple smaller subpopulations that exist, or that
405	alternative resistance mechanisms, such as amikacin efflux exist (43). Nonetheless, given the
406	likely de novo emergence of resistance, it is unlikely that subsequent amikacin doses will
407	achieve appreciable further bacterial killing (43). These results would support that notion that
408	amikacin may enhance initial bacterial killing but should be combined with a second agent
409	either empirically or as directed therapy to ensure bacterial eradication and minimise the
410	probability of treatment failure.

Our study is not without limitations. First, the lack of a simulated immune response *in vitro*limits the external validity when applying our results to clinical practice. Nonetheless, as
previously discussed, optimising bacterial killing *in vitro* may generalise to optimal clinical
outcomes (44). Moreover, our *in vitro* model and subsequent dosing simulations may best
represent an immunocompromised patient. Second, only two clinical *P. aeruginosa* isolates

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were tested, therefore our results may not generalize to other infecting isolates. Third, the 417 amikacin ELF concentration-time curve is estimated from other aminoglycosides, which may 418 419 not reflect the exposures achieved for amikacin. This approach may be reasonable given the lack of amikacin-specific data and similar chemical structures between aminoglycosides. 420 421 Nonetheless, further research detailing the ELF pharmacokinetics of amikacin over a dosing 422 interval are required, thus our results should be considered as hypothesis generating. Fifth, we 423 did not perform WGS on the various phenotypically distinct colonies. This may mean that 424 specific resistance mechanisms may not be appropriately identified if they are present in a 425 sparsely dense bacterial subpopulation. Last, we did not simulate the ELF milieu, which is known to contain mucin, an acidic pH and mucin; factors that are known to impact 426 427 aminoglycoside-mediated bacterial killing (26, 45-47). The impact of mucin was considered 428 by simulating the estimated unbound amikacin fraction.

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Future amikacin intravenous administration may be with the use of a single high dose ( $\geq$ 30) 430 431 mg/kg) of the antibiotic for patients with either bloodstream infections or VAP from multi-432 resistant pathogens, such as *P. aeruginosa*, to improve the probability of bacterial eradication. However, this must be balanced with ongoing review of the amikacin doses required for 433 434 clinical effectiveness against *P. aeruginosa* where doses may result in unacceptable toxicity 435 and combinations with other active anti-pseudomonal agents are preferred. Given the likely low efficacy of bacterial killing in the ELF following intravenous administration, alternate 436 437 amikacin administration routes, such as nebulized therapy, may be considered. Clinical trials 438 are required to define the optimal dosing regimen of amikacin for difficult to treat infections, 439 such as VAP.

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646 isolates grown on amikacin-containing (4x baseline MIC) cation adjusted Mueller-Hinton

647 agar after the 7-day course

	Isolate	Amikacin Dose	MIC (mg/L)
		15 mg/kg	32
	#CTAP23	25 mg/kg	32
		50  mg/kg	64 10
		30 mg/kg (ELF)	16
		15 mg/kg	64 129
	#CTAP40	50 mg/kg	128
		30 mg/kg (ELF)	64
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	_	fusA_2 1390	<i>rplB</i> 413	rpIB 412	algA_1 836	tuf1_1
	#CTAP23	0%	0%	0%		
	Baseline	070	070	078		
	#CTAP23	0%	7%	20/		
	15 mg/kg	078	270	270		
	#CTAP23	57%	1%	10/		
	25 mg/kg	5778	470	470		
	#CTAP23	0%	100%	100%		
	50 mg/kg	0%	100%	100%		
	#CTAP40				120/	0%
	Baseline				15%	0%
	#CTAP40				60%	EE0/
	15 mg/kg				0978	55/0
	#CTAP40				0.8%	10%
	25 mg/kg				5676	1970
	#CTAP40				60%	0%
	50 mg/kg				0078	078
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### Table 2: Variation identified in comparison to the initial starting strain in the #CTAP23 and

#CTAP40 lineages. Percentages reflect the prevalence of the mutation within the population.

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# 690 Table 3: Pharmacodynamic model parameter estimates

Demonster	<b>A.</b> b. b. a. a. d. b. b. a. d. b. a. d. b. b. a. d. b. a. d. b. a. d. b. a. d. b	#CTAP23	#CTAP40	
Parameter	Abbreviation	Mean (SD)	Mean (SD)	
Susceptible Growth Rate constant (log10 CFU/mL/h)	Kgs	1.31 (0.11)	1.08 (0.20)	
Intermediate Growth Rate constant (log10 CFU/mL/h)	Kgr	0.40 (0.13)	0.60 (0.26)	
Resistant Growth Rate constant (log10 CFU/mL/h)	Kgrr	0.69 (0.11)	0.55 (0.13)	
Central compartment HFIM volume (L)	Vc	0.32 (0.01)	0.26 (0.05)	
Amikacin clearance (L/h)	Cl	0.03 (0.00)	0.03 (0.00)	
Susceptible Killing Rate Constant (log10 CFU/mL/h)	Emaxs	5.34 (1.50)	4.00 (3.01)	
Intermediate Killing Rate Constant (log10 CFU/mL/h)	Emaxr	9.43 (3.19)	11.20 (2.10)	
Amikacin concentration causing 50% Emaxs (mg/L)	EC50s	11.61 (3.49)	11.10 (2.53)	
Amikacin concentration causing 50% Emaxr (mg/L)	EC50r	244.09 (149.73)	349.63 (79.19)	
Susceptible Hill Coefficient	Hs	6.00 (4.27)	11.04 (5.80)	
Resistant Hill Coefficient	Hr	3.42 (2.47)	7.71 (2.61)	
Intermediate population initial condition (CFU/mL)	ICRe	211.05 (119.12)	320.48 (50.57)	
Resistant population initial condition (CFU/mL)	ICRRe	29.46 (48.23)	25.81 (16.70)	
Maximum substrate consumption	Qmax	0.81 (0.18)	0.59 (0.29)	
	Substrate	3.33x1010	4.92x1010	
Maximum available substrate	Substrate	(2.22x1010)	(2.75x1010)	
Substrate concentration causing 50% Qmax	Qs	8.15x105 (9.61x104)	5.3x105 (1.69x105)	
Death rate constant susceptible population	Kds	0.25 (0.15)	0.05 (0.04)	
Death rate constant intermediate population	Kdi	0.24 (0.18)	0.02 (0.03)	
Death rate constant resistant population	Kdr	0.03 (0.02)	0.11 (0.32)	

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### 691

692 The mean and standard deviation (SD) for each parameter and isolate were determined using

the average and bootstrapped estimates respectively of the posterior model estimates for eachdosing regimen.

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Isolate	Infection Site	Exposure Target	Stasis	1-log kill	2-log ki <del>j</del> b <sub>7</sub>	
		<i>f</i> AUC	108.81	124.70	174.95 <sub>708</sub>	
	Disad	fauc/mic	13.60	15.59	21.87	
	BIOOD	fCmax	24.73	25.86	27.15 <sup>709</sup>	
#CTAP40		fCmax/MIC	3.09	3.23	3.39710	
		<i>f</i> AUC	328.21	28.21 342.69 366.42		
	ELF	fauc/mic	41.03	42.84	45.80	
		fCmax	42.41	47.47	54.17712	
		fCmax/MIC	5.30	5.93	6.77	
		<i>f</i> AUC	117.54	-	- /13	
	Blood	fauc/mic	58.77		714	
		fCmax	26.41	-	- 74 6	
#CTAP23		fCmax/MIC	13.21		/15	
		<i>f</i> AUC	342.92	688.54	688.8 <b>2</b> 16	
	ELF	fAUC/MIC	171.46	344.27	344.1	
		fCmax	47.04	42.40	47.81	
		fCmax/MIC	23.52	21.20	23.91718	

# Table 4: Pharmacokinetic/Pharmacodynamic exposures required for bacterial stasis, 1-log, and 2-log reduction in the total bacterial burden over 24 h.

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734	Table 5	: Proba	bility of	achieving	either	bacterial	stasis, a 1	l-log	reduction,	or 2-	-log reduction
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in the total bacterial population within 24 h of commencing intravenous amikacin

Isolate	Infection Site	Dose	Renal Function (mL/min)	Stasis	1-log kill	2-log kill
		15 mg/kg	60	1	1	1
		30 mg/kg	60	1	1	1
		50 mg/kg	60	1	1	1
		15 mg/kg	100	1	0.99	0.89
	Blood	30 mg/kg	100	1	1	1
		50 mg/kg	100	1	1	1
		15 mg/kg	140	0.90	0.52	0.16
		30 mg/kg	140	1	1	1
#674040		50 mg/kg	140	1	1	1
#CTAP40		15 mg/kg	60	0	0	0
		30 mg/kg	60	0.93	0.44	0.03
		50 mg/kg	60	1	1	1
		15 mg/kg	100	0	0	0
	ELF	30 mg/kg	100	0.41	0.03	0
		50 mg/kg	100	1	1	1
		15 mg/kg	140	0	0	0
		30 mg/kg	140	0.02	0	0
		50 mg/kg	140	1	1	1
		15 mg/kg	60	1	0	0
		30 mg/kg	60	1	0	0
		50 mg/kg	60	1	0	0
		15 mg/kg	100	0.99	0	0
	Blood	30 mg/kg	100	1	0	0
		50 mg/kg	100	1	0	0
		15 mg/kg	140	0.69	0	0
		30 mg/kg	140	1	0	0
#674022		50 mg/kg	140	1	0	0
#CTAP25		15 mg/kg	60	0	0	0
		30 mg/kg	60	0.57	0	0
		50 mg/kg	60	1	0	0
		15 mg/kg	100	0	0	0
	ELF	30 mg/kg	100	0.06	0	0
		50 mg/kg	100	1	0	0
		15 mg/kg	140	0	0	0
		30 mg/kg	140	0	0	0
		50 mg/kg	140	1	0	0

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# Figure 1: Total bacterial population for different amikacin dosing regimen in either blood or

the epithelial lining fluid (ELF) over 168 h. A; #CTAP23 and B; #CTAP40.







- 749 Figure 2: Total bacterial population (filled lines) and resistant population (dashed lines) for
- 750 #CTAP23 and #CTAP40 in blood (amikacin dosing regimens 15, 25 and 50 mg/kg) and
- 751 epithelial lining fluid (amikacin dosing regimen 30 mg/kg).

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Figure 3: Pharmacokinetic/Pharmacodynamic model observed-predicted fit for isolate
#CTAP23. A; amikacin pharmacokinetic data. B and C; Total bacterial population observed

- vs. predicted values for the population and posterior estimates respectively. D and E; 756
- 757 Resistant bacterial population observed vs. predicated values for the population and posterior
- 758 estimates respectively. Circles #CTAP 23 15 mg/kg; triangles #CTAP23 25 mg/kg; squares
- #CTAP23 50 mg/kg; hexagons #CTAP23 ELF Exposure; diamonds #CTAP23 Control 759



763 Figure 4: Pharmacokinetic/Pharmacodynamic model observed-predicted fit for isolate

764 #CTAP40. A; amikacin pharmacokinetic data. B and C; Total bacterial population observed

- vs. predicted values for the population and posterior estimates respectively. D and E;
- 766 Resistant bacterial population observed vs. predicated values for the population and posterior
- restimates respectively. Circles #CTAP 23 15 mg/kg; triangles #CTAP23 25 mg/kg; squares
- 768 #CTAP23 50 mg/kg; hexagons #CTAP23 ELF Exposure; diamonds #CTAP23 Control

